

Commissioner for Patents
Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT

This Information Disclosure Statement accompanies the new patent application submitted herewith of the following Inventors: Guy Thomas CARTER; Jason Arnold LOTVIN; Leonard Alexander MCDONALD;

For: ANTIBIOTICS AA-896.

1. Preliminary Statements

In accordance with 37 CFR 1.97 and 1.98, Applicants submit herewith patents, publications, or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56. This Information Disclosure Statement is not to be construed as a representation that: (i) a search has been made; (ii) the information is material to the examination of this application; (iii) additional information material to the examination of this application does not exist; (iv) the information, protocols, results and the like reported by third parties are accurate or enabling; or (v) the information constitutes prior art to the subject invention.


2. ☒ Previously Cited Information

No copy of the patents, publications or other information cited on the attached form PTO-1449 is enclosed because it has been previously cited by or submitted to the Office in a prior application which is relied upon for an earlier filing date under 35 USC 120. Prior application is Application Number 10/132,005 filed on April 25, 2002 of Guy Thomas Carter, et al. for Antibiotics AA-896.


CERTIFICATE OF MAILING 37 CFR §1.10

I hereby certify that this paper and the documents referred to as enclosed therein are being deposited with the United States Postal Service on the date written below in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EU673382050US addressed to the Commissioner for Patents, Washington, DC 20231.

November 14, 2003
Date


Daniel B. Moran

3. ☐ Newly Cited Information
A legible copy of the patents, publications or other information cited on the attached form PTO-1449 is enclosed, except that no copy of a pending U.S. application is enclosed.
4. ☒ Concise Explanation
Documents cited above which are not in the English Language
- a. ☐ have been explained in the specification.
 - b. ☒ have an abstract (or other concise explanation) in English enclosed or, if readily available, a translation into English of the document is enclosed.
5. Form PTO-1449 is enclosed in duplicate.



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Tel. No. (845) 602-2224

Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if Known	
				Application Number	
				Filing Date	
				First Named Inventor	
				Group Art Unit	
				Examiner Name	
Sheet	1	of	1	Attorney Docket Number	
AM100641					

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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	1.					

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		Office	Number	Kind Code (If Known)				
	2.		JP05078385		Japanese	02-25-91		<input type="checkbox"/>
	3.		JP05078385		English Translation			<input type="checkbox"/>

OTHER PRIOR ART — NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.			T
	4.	ISONO, K.; URAMOTO, M.; KUSAKABE, H.; KIRMURA, K.; IZAKI, K.; NELSON, C.C.; MCCLOSKEY, J.A.; J. Antibiotics, 1985, 38, 1617-1621			<input type="checkbox"/>
	5.	UBUKATA, M.; ISONO, K.; KIMURA, K.; NELSON, C.C.; MCCLOSKEY, J.A.; J. Am. Chem. Soc., 1988, 110, 4416-4417			
	6.	KIMURA, K.; MIYATA, N.; KAWANISHI, G.; KAMINO, Y.; IZAKI, K.; ISONO, K.; Agric. Biol.Chem, 1989, 53, 1811-1815			
	7.	KIMURA, K.; IKEDA, Y.; KAGAMI, S.; YOSHIHARA, M.; J. Antibiotics, 1998, 51, 1099-1104			
	8.	UBUKATA, M.; KIMURA, K.; ISONO, K.; NELSON, C.C.; GREGSON, J.M.; MCCLOSKEY, J.A.; J.Org.Chem. 1992, 57, 6392-6403			
		PCT/US02/13218, International Search Report, 06-24-2003			

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				Guy Thomas Carter	
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